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January 2013

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Qidwai, W., Tabassum, R., Khan, F., Javed, S., Ali, S., Nanji, K. (2013). Informed consent, privacy and confidentiality practised by doctors of a tertiary care hospital in a developing country. Indian Journal of Medical Ethics, 10(1), 36-40. Available at: https://ecommons.aku.edu/pakistan_fhs_mc_fam_med/103

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Informed consent, privacy and confidentiality practised by doctors of a tertiary care hospital in a developing country

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Abstract

Background: This aim of this study was to assess the degree to which the doctors of a public sector hospital in Karachi adhere to medical ethics during their consultations.

Material and methods: A cross-sectional study was conducted in Civil Hospital, Karachi, Pakistan, during April-June 2010. 337 patients from Out Patients Departments (OPDs) and wards were recruited through consecutive sampling. A pre-tested structured questionnaire was administered to the participants and they were asked about their demographic profile, and their doctor's practices regarding obtaining informed consent, respecting privacy and confidentiality, and treatment modalities. Written informed consent was taken from all the participants. Data was analysed using SPSS version 16.0, and a p-value of < 0.05 was considered as statistically significant.

Results: The mean age of the study population was 38.4 ± 15.0 years. There was an equal ratio of males and females. Our study showed that informed consent was obtained from 66.4% of patients. 56.9% of patients responded that they were not informed about the side effects of the prescribed medicines. 74% females were satisfied with the privacy maintained during the consultation. 64.8% were assured of the confidentiality of their information. Regarding advertisement by doctors, 46.5% of patients said it should be done.

Conclusion: There has been a marked improvement as compared with the previous practices of biomedical ethics by the doctors of this public sector hospital. However, awareness sessions should be conducted to update/ improve the knowledge of ethics among doctors to help them translate their knowledge into practice.

Introduction

Biomedical ethics is the study of morality in medicine (1, 2). This morality is concerned with the relationship between the doctor and patient. Throughout history, the doctor's primary moral responsibility has been to do no harm. However, with increased awareness of an individual's rights (3) doctors need to revisit the subject of medical ethics. The elementary concepts of medical ethics include: informed consent, privacy and confidentiality (2).

Numerous studies have suggested that doctors in routine clinical practice do not consider it necessary to obtain proper consent after providing patients with thorough information (4-6). Similarly, though respect for privacy and confidentiality has also been a responsibility of doctors throughout history (2, 7-8), it has been noted that doctors often neglect this aspect of medical ethics.

There are well-established ethical frameworks in the field of medicine in the western world (2, 9), with appropriate state laws and codes of ethics. However, despite the fact that the regulatory body for medicine, the Pakistan Medical and Dental Council (PMDC), has formulated a code of ethics for doctors, no significant steps have been taken to ensure its implementation (10).

In recent times, there has been a change in the thinking of patients, mainly due to the greater influence of information technology, primarily of the electronic media (radio and television) and the internet (3). Thus, due to increasing awareness, patients demand to be fully informed about options, treatment plans and possible complications, aspects which were not present in the past (3).

Considering this changing trend and level of awareness, this study was conducted at a public sector hospital in Karachi, to determine the degree to which ethical codes of medicine have been put into practice according to the patient perspective.

Material and methods

This cross-sectional survey was conducted in the Civil Hospital (CHK), a tertiary care hospital in Karachi, the largest city in, and economic hub of, Pakistan. The data were collected from April to June 2010. Patients between 18 to 60 years visiting the Out Patients Department (OPD) or admitted into the wards were recruited through consecutive sampling. However, patients who had been admitted for severe burn injuries; or diagnosed with any serious psychiatric disorder (such as schizophrenia, mood disorders); or were agitated due to severe pain, and/ or comatose; or had a hearing difficulty or a language barrier were excluded. Patients admitted into the Intensive Care Unit (ICU) and those visiting the Emergency Department were also excluded.

Totally 337 patients were recruited; on the basis of the assumption that 50% of the doctors in Pakistan respect confidentiality and privacy and obtain informed consent from their patients. The number was also chosen to obtain maximum variance with 6% bound on error and an addition of 25% for non-responders. The final sample size was approximately

337 patients. A pre-tested structured questionnaire was administered to collect information. Pre-testing was done on 5% (17 patients) of the sample size and any changes deemed necessary were made. The questionnaire comprised two sections. The first section had information on the sociodemographic profile of patients including age, sex and marital status. The second section had questions on doctors' practice of obtaining informed consent: whether written/verbal informed consent was obtained; whether patients were informed about the indications for a treatment, possible side-effects, alternative treatments, the expected benefit of the treatment, etc.), privacy, confidentiality, doctors advertisement etc. The questionnaire was developed in English and then back translated for accuracy. The questionnaire required approximately 30 minutes to complete. Data were collected by medical students specifically trained for this task.

The Ethics Review Board (ERB) of Dow University of Health Sciences (DUHS) reviewed and approved the study. Written informed consent was obtained from the participants after the study protocol was explained to them and they were assured of the confidentiality and anonymity of the information.

Statistical analysis

Data were entered and analysed using Statistical Package for Social Sciences (SPSS) version 16.0. Normality of the data was assessed and mean and standard deviation were computed for continuous and proportions for the categorical variables. To determine the differences between gender, chi-square or Fisher's exact test was applied as appropriate and a p-value of less than 0.05 was considered statistically significant.

Results

337 patients were interviewed. There were 169 males and 168 females (M: F = 1:1). The mean age of study participants was 38.4 \pm 15 years. The baseline characteristics of the study participants are presented in Table 1.

Our study showed that 66.4% of the total patients reported that doctors had taken informed consent. There was no significant association of written/verbal informed consent with gender, education and location (OPD or wards), estimated through the chi-square test. Overall, 73% of patients reported being assured about the confidentiality of their information. Approximately 80.4% of the male patients reported being assured of confidentiality of information as compared to their female counter parts (P=0.003). However, according to 39% of male participants, proper privacy was not maintained during their clinical examination (Table 2).

28.5% out of 133 illiterate patients responded that they were not told about the disease from which they were suffering (P=0.006), making it more difficult for them to avail of better treatment options. Approximately 62% (P=0.017) of patients educated below the matric level were told about the action of the medication. However, 53% (P=0.004) of them were not briefed on the side-effects of prescribed medicines. An

equal percentage reported that their doctors did not explain alternative options to their patients (P=0.001) on observing those side-effects (Table 3).

It was interesting to note that while 48.3% patients were influenced by doctors' advertisements, 46.2% were not influenced by these advertisements (P=0.0001) (Table 4).

Figure 1 depicts that 51% of the patients were in favor of doctors' advertisements. Nevertheless, about 31% patient responded that they were not in favour of doctors' advertisements.

Discussion

Many studies have shown that patients depend on their doctors for all decisions regarding their illness and its treatment (11). Studies from South Africa (12) and Lithuania (13), also report that the practice of medical ethics by doctors is not satisfactory and often does not meet legal requirements.

In this study, we set out to determine the extent to which medical ethics is practised by doctors. The proportion of patients in our study population reporting that their doctor took their informed consent is higher than that in other studies conducted in Pakistan (14). In our study informed consent was not taken from almost 30% of the patients. A study conducted by Humayun et al in Lahore (2) reported that informed consent was not taken from about 90% of the patients at a public sector hospital. This observed difference in study results may be due to the fact that our study was conducted at a teaching hospital where doctors may tend to adhere more to the principles of medical ethics as compared to those at non-teaching hospitals.

A doctor must address five issues in order to take complete informed consent: the nature of the proposed procedure, its purpose, the risks, the benefits, and the availability of alternatives (15). Our study also highlights the extent to which a physician discusses his clinical decisions with the patient. The results show that there was a significant association between the patient's level of education and the patient's questioning the doctor about his diagnosis. In the study laboratory investigations and other relevant findings did not have significant association with the level of education of the patients (Table 3). However, the level of education was significantly related to the reported effects, side-effects and alternatives on observing side effects. These statistically significant associations emphasise the fact that there is an increasing awareness about healthcare and this has altered the public's thinking; people are now more eager to know their complete medical status and the treatment options available.

The study also looked at doctors' practice of maintaining confidentiality. Only 14% of the patients were not completely sure that their confidentiality was maintained. This was quite different from other local and international data (2, 16) With regard to privacy as well, other reported studies have had a less satisfactory rate as compared to ours. A study conducted in Karachi (17) showed that 46% of patients complained of improper protection of privacy; 30% of patients in our study

had this complaint. These findings, of an improved system of informed consent, and better maintenance of privacy and confidentiality, are important as this enables the patient to speak frankly of their history and symptoms with the doctors (16).

The opinion of patients on doctors advertising was unanticipated. 48.3% of the sample approved of advertising, despite the fact that this topic has been a matter of debate globally. A handful of authors, in recent publications throughout the world, have argued in favour of doctors advertising (18). They have asserted that, as long as the advertisement does not contain any false or deceptive information, it is acceptable (18). In our study, 46.2% of the patients stated that they were not influenced by advertisements, as compared to 42.4% of participants who were so influenced. The inference which could be drawn from the result was that professional advertisements were acceptable provided the main intention of any advertisement regarding a doctor's services is to present the information required by the patient to make a decision about the aptness and availability of the health services offered. According to the PMDC, a doctor may advertise his services but only if he observes the code of ethical conduct. The advertisement should not exaggerate or mislead the public about the services (19). However, to the best of our knowledge, this aspect of medical ethics has not been put before patients in earlier studies, and future research into this intriguing aspect could yield more insights.

As previously mentioned, the role of the doctor as sole decision maker is changing. A study conducted in 1998(20) reported that patients were satisfied with the fact that doctors were the ones making decisions for them. Similar results were seen in another study in Malaysia and in Kashmir in 2007 (11). However, with the public's growing awareness, people demand to be fully informed of all clinical aspects (3).

This study has several potential limitations. The study was conducted in a single hospital, and the results cannot be generalised for all public sector hospitals in Pakistan. Second, the participants recruited from the OPD were interviewed just after their session with the doctors; while those patients in the wards were interviewed at different times, which might have led to a limitation to recall bias.

Conclusion

The results of this study suggest that doctors at teaching hospitals may have improved in the practice of ethics. However, since the data were collected only at one teaching hospital, we cannot comment on the ethical practices of physicians from the private healthcare sector. Nonetheless, to encourage ethical medical practice, steps should be taken to highlight the importance of the basic principles of ethics: informed consent, privacy and confidentiality. Furthermore, awareness building should be conducted for doctors to improve and refresh their knowledge of ethical principles. Finally, professional advertisements by doctors should not contain any information that can be misleading for the patient.

Acknowledgements

We are grateful to the management and staff of the Civil Hospital Karachi for their support throughout the data collection phase. We are also grateful to all the study participants for their participation in this study.

Table 1: Demographic profile of the study participants (n=337)

| Characteristic | n | (%) |
|-------------------------|-----|--------|
| Gender | 169 | (50.1) |
| Male | | |
| Marital status | 263 | (78) |
| Married | | (, 0) |
| Education | | |
| Illiterate | 133 | (39.4) |
| Below matric | 127 | (37.6) |
| Matriculation and above | 77 | (22.8) |
| Occupation | | |
| Private | 33 | (9.7) |
| Government | 37 | (10.9) |
| Self-employed | 81 | (24.0) |
| Retired | 42 | (12.4) |
| Housewife | 121 | (35.9) |
| Student | 5 | (1.4) |
| Unemployed | 18 | (5.3) |

^{*} Mean (SD)

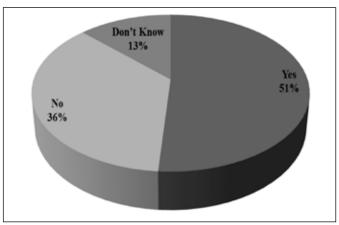


Figure 1: Patient responses on professional advertisement by doctors

Table 2: Patients' perceptions about doctor's practice regarding consent process and gender specific differences in Karachi Pakistan (n=337)

| | | Male | Female | P-Value* |
|--------------------------------|------------|------------|------------|----------|
| Characteristics | | (169) | (168) | |
| | | n (%) | n (%) | |
| Consent taken | Yes | 106 (62.7) | 118 (70.2) | |
| | No | 57 (33.7) | 44 (26.2) | 0.315 |
| | Don't know | 6 (3.5) | 6 (3.5) | |
| Confidentiality assured | Yes | 136 (80.4) | 109 (64.8) | |
| | No | 14 (8.2) | 33 (19.6) | 0.003 |
| | Don't know | 19 (11.2) | 26 (15.4) | |
| Privacy maintained | Yes | 103 (60.9) | 112 (66.6) | |
| | No | 54 (32.0) | 31 (19.8) | 0.033 |
| | Don't know | 12 (7.1) | 23(13.6) | |

^{*}Chi-square test, P-value: difference in males and females, significance at < 0.05

Table 3: Information provided by doctors to patients according to their level of education in Karachi Pakistan

| Characteristics | Level of education§ | | | |
|---------------------------------------|---------------------|------------|-----------|----------|
| | Illiterate (133) | <10 (127) | ≥10 (77) | P-value* |
| | n (%) | n (%) | n (%) | r-value |
| Informed about the diagnosis | 95 (71.4) | 108 (85.0) | 67 (87.0) | 0.006 |
| Reason for investigations | 72 (54.1) | 87 (68.5) | 47 (61.0) | 0.084 |
| Discussion of relevant lab findings | 89 (66.9) | 89 (70.0) | 47 (61.0) | 0.454 |
| Explained role of the drugs | 63 (47.3) | 79 (62.2) | 31 (40.2) | 0.017 |
| Explained side-effect of the drugs | 51 (38.3) | 59 (46.4) | 35 (45.5) | 0.004 |
| Alternative on observing side-effects | 62 (46.6) | 57 (44.9) | 33 (42.8) | 0.000 |

^{*}Chi –square test P-value: difference in level of education, significance set at < 0.05

\$Level of Education: Illiterate: no formal education, < 10= less than 10 years of schooling, > 10= more than 10 years of schooling

Table 4: Views of patients regarding the professional advertisement of doctors in Karachi Pakistan

| Characteristic | Should doctors' advertisements be done? | | | | |
|-----------------------------|---|---------------|-----------|-----------------|----------|
| | | Yes (163) | No (113) | Don't Know (61) | P-Value* |
| Influenced by advertisement | Yes | 107 (31.8) | 22 (6.6) | 14 (4.2) | |
| | No | 50 (14.8) | 87 (25.8) | 19 (5.6) | 0.0001 |
| | Don't know | 6 (1.7) | 4 (1.2) | 28 (8.3) | |

^{*}Chi-square test

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