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BMJ Open Addressing multiple modifiable risks through structured community-based Learning Clubs to improve maternal and infant health and infant development in rural Vietnam: protocol for a parallel group cluster randomised controlled trial

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ABSTRACT

Introduction Optimal early childhood development is an international priority. Risks during pregnancy and early childhood have lasting effects because growth is rapid. We will test whether a complex intervention addressing multiple modifiable risks: maternal nutrition, mental health, parenting capabilities, infant health and development and gender-based violence, is effective in reducing deficient cognitive development among children aged two in rural Vietnam.

Methods and analysis The Learning Clubs intervention is a structured programme combining perinatal stage-specific information, learning activities and social support. It comprises 20 modules, in 19 accessible, facilitated groups for women at a community centre and one home visit. Evidence-informed content is from interventions to address each risk tested in randomised controlled trials in other resource-constrained settings. Content has been translated and culturally adapted for Vietnam and acceptability and feasibility established in pilot testing. We will conduct a two-arm parallel-group cluster-randomised controlled trial, with the commune as clustering unit. An independent statistician will select 84/112 communes in Ha Nam Province and randomly assign 42 to the control arm providing usual care and 42 to the intervention arm. In total, 1008 pregnant women (12 per commune) from 84 clusters are needed to detect a difference in the primary outcome (Bayley Scales of Infant and Toddler Development Cognitive Score <1 SD below standardised norm for 2 years of age) of 15% in the control and 8% in the intervention arms, with 80% power, significance 0.05 and intracluster correlation coefficient 0.03.

Ethics and dissemination Monash University Human Research Ethics Committee (Certificate Number 20160683), Melbourne, Victoria, Australia and the Institutional Review Board of the Hanoi School of Public Health (Certificate Number 017-377IDD- YTCC), Hanoi, Vietnam have approved the trial. Results will be

Strengths and limitations of this study

- This is the first trial to address eight risks to the early development of children in a multicomponent intervention in a resource-constrained setting.
- The intervention is informed by comprehensive local evidence, a long-standing international collaboration among a multidisciplinary group of bicultural investigators and detailed cross-national protocols for implementation and governance.
- The sample size is large so that the trial is powered to detect even small clinically relevant effect sizes.
- Structural equation modelling, an advanced statistical technique, is to be used and will allow us to identify the mechanisms of the trial effects.
- The Bayley Scales of Infant and Toddler Development, the measure of the primary outcome, has not been formally validated against a paediatric developmental assessment in Vietnam.

disseminated through a comprehensive multistranded dissemination strategy including peer-reviewed publications, national and international conference presentations, seminars and technical and lay language reports.

Trial registration number ACTRN12617000442303; Pre-results.

INTRODUCTION

Human development is governed by complex interactions among biological, psychosocial and environmental factors; risks accrue among those in lower socioeconomic positions.^{1,2} The first 2 years of life are vital as general and neurological growth, reflected in

cognitive, physical and social-emotional domains, is very rapid and risks during pregnancy and early childhood have lasting effects.

The major risks to optimal child development in resource-constrained settings are:

Anaemia, most commonly caused by iron deficiency poses a significant risk to morbidity and mortality, particularly among women who are pregnant and their children. Higher rates of premature birth and low birth weight occur among women with anaemia in pregnancy.³ Up to 50% of children aged 0–3 years are anaemic in resource-constrained settings. There is clear evidence that infants with iron deficiency anaemia have worse cognitive development as well as poorer motor, social and/or emotional functioning than those without it.^{1,4} The long-term benefits of antenatal iron supplementation for child survival and development are not yet well defined.⁵ However, in 1999–2001, 4926 pregnant women in rural Nepal participated in a cluster-randomised, double-masked, controlled trial involving four alternative combinations of micronutrient supplements. Follow-up of their offspring revealed that antenatal iron-folic acid supplementation reduced mortality among 0–7 year olds by 31%.⁶ Intellectual functioning was improved by antenatal micronutrient supplementation,⁶ suggesting potential profound effects on child health and development.

Iodine is an essential nutrient and a constituent of the thyroid hormones thyroxine (T4) and triiodothyronine (T3). Globally, 35% of people have insufficient iodine intake, most because they subsist by agriculture in areas with leached soil. Iodine deficiency disorders adversely affect human growth and development. Among children, iodine deficiency disorders are associated with cognitive and developmental deficits, the most severe being the pervasive developmental disorder of cretinism. Children's IQ scores are on average 13.5 points lower in iodine-deficient than iodine-sufficient areas.⁷

Intrauterine growth restriction (IUGR) is due mainly to macronutritional and micronutritional deficiencies and co-incident infections during pregnancy that lead to impaired fetal growth and low birth weight (<2.5 kg). The associations between IUGR and child development are apparent in low-income and high-income countries. Infants of low birth weight in Brazil,⁸ Guatemala⁹ and Jamaica¹⁰ had worse cognitive and motor development at 12 months than those with average birth weight. Follow-up of the 1970 British Birth Cohort found significant and clinically relevant decrements in academic and professional attainment among adults who had been born small for gestational age compared with adults who had normal birth weight.¹¹

Growth potential is similar in all children, and stunting and low weight for age are governed more by environmental than genetic factors.¹² *Stunting*, classified as height-for-age Z score less than –2 SD from the reference mean,¹³ is observed in nearly a third of children aged ≤5 years in resource-constrained settings. It is an indicator of chronic undernutrition, often compounded by infectious

diseases. Stunting at age 24–36 months is associated with subsequent lower cognitive abilities,¹⁴ worse school performance, premature withdrawal from education,¹⁵ and lower economic productivity,¹⁶ even with adjustment for socioeconomic factors. The two periods of greatest vulnerability to stunting are during (1) intrauterine development and (2) the transition from reliance on breast-milk to solid foods, usually in the second 6 months of life.¹⁷ In early childhood, being underweight and stunted is associated with apathy, less positive affect, less participation in play, more insecure infant-to-parent emotional attachment and, at school age, poorer conduct, attention and social skills¹⁵ and increased risk of chronic diseases in adulthood.¹⁸

Children *reared in violent neighbourhoods* have worse developmental outcomes and higher rates of emotional and behavioural problems than those in safe circumstances.¹⁹ In resource-constrained settings, *family violence* is associated with higher rates of death among young children,²⁰ particularly girls, but there is as yet limited evidence about impact on child development. In high-income countries, children exposed to family violence have worse cognitive and socioemotional development.²¹

The *common mental disorders (CMDs)* of depression and anxiety are prevalent among women who are pregnant or have recently given birth.²² In high-income countries, there is increasing evidence that prenatal anxiety has an adverse impact on fetal neurodevelopment, heightens risk of premature birth and is associated with cognitive, behavioural and emotional problems in infants.²³ In resource-constrained settings, the situation is more complex. In Pakistan, when socioeconomic status and maternal body mass index were controlled, the relative risk of low birth weight was 1.9 (95% CI 1.3 to 2.9) among infants of depressed mothers compared with those of non-depressed mothers, but micronutrient deficiencies were not assessed.

Poor maternal psychological state can lead to neglect or inaccurate interpretation of infant cues, developmentally inappropriate expectations and hostile or inconsistent responses. After childbirth in high-income countries, interactions with primary caregivers influence the infant's, cognitive, emotional and social development. There is less evidence from resource-constrained settings²⁴ but maternal depression has been linked directly to higher rates of diarrhoeal and respiratory diseases, stunting and hospital admissions among infants and lower completion of recommended immunisation schedules and worse social-emotional development among young children.²

Lack of early learning opportunities and *insensitive caregiver-child interactions* contribute to loss of developmental potential. Learning opportunities that facilitate early cognitive development include caregiver responsiveness and access to materials that promote age-appropriate language and problem-solving skills.² Infants in resource-constrained settings given additional early cognitive stimulation or learning opportunities have consistently higher subsequent cognitive functioning, task orientation, social

behaviour and self-confidence than children who did not have early stimulation.²⁵ Effective caregivers observe the child's cues, interpreting what these indicate, and respond consistently, contingently and effectively.²⁶ Caregivers who are positive, sensitive and responsive and do not use harsh physical punishment facilitate early social-emotional development,² secure infant-to-parent emotional attachment²⁷ and higher infant cognitive ability.²⁸

Evidence from our research about maternal and infant health in Vietnam

Vietnam, a lower-middle income country in the South East Asian Region, has a population of ~88 million people; 74% live in rural areas, most by subsistence agriculture. The average national annual per capita income in 2016 was USD 2100, but there are rural–urban income disparities with lower per capita incomes in rural provinces. The World Bank estimates that 21.5% of the population, almost all in rural areas, live in absolute poverty with an individual daily income <USD 1.25.²⁹ Vietnam is currently rated 115th out of 188 countries on the Human Development Index: a composite score of average life expectancy, years of schooling and per capita income.²⁹

Over the past 15 years, we have built a collaborative research programme to develop capacity and generate local evidence about the psychological and general health of women including during pregnancy and after giving birth and the health and development of young children. We have established the prevalence and determinants of perinatal CMDs among women in the north and the south of Vietnam and among urban and rural groups,^{30 31} the course of perinatal depression³² and the impact of CMD on preventive healthcare participation.³¹ We have translated, validated and published a suite of psychometric measures for local use.^{33 34} We have established the prevalence of anaemia, hookworm infection and deficiencies in iron, folate and vitamins B12 and A among women of reproductive age and shown that iron stores can be replenished with population-based de-worming and iron-folic acid supplementation delivered through routine health services.^{35 36}

We have completed a cohort study in the proposed study area. In total 497/523 women who were pregnant were recruited from 50 randomly selected rural communes. They were assessed in early and late pregnancy and, with their babies, at 8 and 26 weeks postpartum. We found that in pregnancy, 32% of women reported food insecurity, 20% had a body mass index <18.5, 80% were iodine deficient, 17% had iron deficiency anaemia, 19% had experienced intimate partner violence and a third met criteria for a CMD at least once.^{30 37–39} All were poor with annual per capita incomes below USD 800. There are no services for perinatal mental healthcare or violent victimisation. These biological, psychological and social risks interact and lead to adverse maternal outcomes during pregnancy and birth and, via direct and indirect mechanisms, to compromised fetal and infant health and

development.^{30 31 40–42} The preterm birth rate (14%) was double that in high-income countries.⁴² We found that risks interact: the poorest women and those who had experienced intimate partner violence were at higher risk of CMD and, when other factors were controlled, women with pregnancy CMD were less likely to use the recommended iodised salt and iron supplements.³⁸

In this study, we also revealed risks to the development of 6-month-old infants. In path analyses, we showed that Bayley Scales of Infant and Toddler Development (BSID) cognitive scores were predicted directly by persistent antenatal iron deficiency anaemia (estimated mean difference of –11.62 points; 95% CI –23.01 to –0.22) and antenatal CMD at any point (–4.80 points; 95% CI –9.40 to –0.20); motor scores were predicted directly by antenatal anaemia during late pregnancy (–2.61 points, 95% CI –0.57 to –4.65) and CMD in early pregnancy (–7.13 points, 95% CI –3.13 to –11.13) and social-emotional scores were predicted indirectly by antenatal CMD (–1.11, 95% CI –1.79 to –0.42) via postnatal CMD, low confidence and less affectionate caregiving.^{40–42}

The adverse effects of maternal perinatal CMD on early childhood growth were also established.⁴³ When other factors were controlled, children whose mothers experienced CMD in late pregnancy or early postpartum had lower length-for-age Z score at 15 months of age (–0.15, 95% CI –0.28 to –0.05). It is the first study worldwide to show that father's behaviour is also relevant to child growth as a more hostile marital relationship was associated indirectly with lower toddler length-for-age Z scores via maternal CMD.

We then conducted a randomised controlled trial (RCT) of antenatal micronutrient supplementation in the same rural province and ascertained that intermittent iron supplementation was sufficient to prevent maternal anaemia, and infants were of similar birth weights to those born to mothers receiving daily iron supplementation (mean difference (MD) 28g; 95% CI –22 to 78).⁴⁴ In a follow-up cohort study of the infants born to women in the trial, we found that lower dose antenatal iron supplementation led to impaired growth (Adjusted MD in HAZ were –0.14 (95% CI –0.28 to –0.01) and motor development (MD –2.07, 95% CI –4.11 to –0.03) in children at 3 years of age.⁴⁵

Interventions in resource-constrained settings

Interventions to optimise early childhood development in resource-constrained settings have focused predominantly on improving nutrition and physical growth, either through micronutrient supplementation, macro-nutrition in refeeding programmes or education about breastfeeding, weaning or responsive feeding.⁴⁶ Some have paired this with cognitive stimulation through education about low-cost play materials.⁴⁷ Others have focused on strengthening the mother-infant relationship and social-emotional development by using behavioural assessment items to demonstrate the baby's capacities to the mother and the reciprocity of their interactions.⁴⁸

Interventions for maternal mental health problems in these settings have been effective in reducing symptoms, but the benefits for child health and development have been inconsistent and generally limited.¹ Some have found improvements in maternal knowledge about the infant's developmental needs and capabilities, others have found improvements in caregiving demonstrated through greater compliance with recommended immunisation schedules and fewer episodes of diarrhoea.⁴⁹ Security of mother-infant emotional attachment was improved in one trial, but with little evidence of benefits for weight and stunting.⁵⁰ A recent trial found that an enhanced nutrition intervention had no effect on child cognitive development on its own, but if combined with responsive stimulation activities did lead to improved cognitive capacity.⁵¹ A combined microfinance and participatory education programme about gender empowerment reduced the prevalence of intimate partner violence, but benefits for mental health or any child outcomes were not assessed.⁵²

We have contributed evidence that risks to infant development begin in pregnancy^{40–42} and to the existing knowledge base of co-occurring postbirth risks in resource-constrained settings.^{1 2} We now propose to test a comprehensive approach to improving women's health and early childhood health and development through addressing multiple modifiable risks, in particular maternal nutrition, mental health, parenting capabilities, infant health and development and gender-based violence and empowerment, both before and after birth is required.

OBJECTIVES

The primary aim is to assess the effectiveness of an 18-month, 20-module, structured, facilitated women's health and early childhood development Learning Clubs intervention in reducing deficient cognitive development among 2 year olds in rural Vietnam.

It is hypothesised that fewer children whose mothers participated in the Learning Clubs intervention will have Bayley Scales of Infant and Toddler Development cognitive scores < -1 SD below age-specific norms at 2 years of age compared with children whose mothers received only usual care.

METHODS AND ANALYSIS

Trial design

The trial is a two-arm parallel group cluster-randomised controlled trial (cRCT) of the Learning Clubs intervention. The intervention is for groups of women and, in order to limit contamination of the usual care services, randomisation of individuals is not possible. The unit of clustering is the commune, which is the primary government entity in Vietnam. Each commune has a local administration, a health centre and a population of ~7–10 000 people. All women will receive the usual standard of

pregnancy and postpartum healthcare, including free antenatal checks, birth in a medical facility and access to the National Growth Monitoring and Expanded Immunisation Programmes. In *Arm 1*, women will receive the Learning Clubs intervention throughout pregnancy (8 modules) and during the first year of the child's life (12 modules, a total of 20 modules) in addition to standard care. In *Arm 2*, women will receive only the usual standard of care.

Study setting

The trial will be conducted in Ha Nam, a typical Red River rural province in the North of Vietnam that has sufficient infrastructure to conduct this trial, represents the geographic zone and does not have conflicting studies taking place.

Participants

Eligibility criteria

All women who are aged at least 18 years, pregnant and less than 20 weeks' gestation (determined on the basis of the first day of the last menstrual period) living in the selected communes will be eligible to participate.

Exclusion criteria

Women who have a cognitive disability (determined by the local commune health station staff) or other serious physical disabilities which prohibit attendance.

Learning Clubs intervention

The Learning Clubs intervention is a structured programme that combines perinatal stage-specific essential information, learning activities and social support in accessible facilitated community-based groups of women. The intervention comprises 20 educational modules, delivered in face-to-face groups at a community centre and in one home visit. The evidence-informed content has been drawn from interventions to address individual risks: maternal nutrition, mental health or parenting capabilities, infant health and/or development or gender-based violence and empowerment which have been tested in RCTs in other resource-constrained settings and supplemented by our deep knowledge of local risk factors and health and social protection systems to ensure cultural sensitivity and appropriateness (figure 1). These include the Thinking Healthy,⁴⁹ What Were We Thinking,³⁹ Sisters for Life⁵² and Care for Child Development programmes⁵³ and WHO guidelines for nutrition and breastfeeding.⁵⁴ Nutritional messages are drawn from WHO guidelines from the e-Library of Evidence for Nutrition Actions and Essential Nutrition Action⁵⁵ and include dietary diversity, the use of supplements and fortified foods and the importance of adequate nutritional intake.

Programme content has been translated, adapted to ensure that it is culturally appropriate for Vietnamese women and field-tested for salience and comprehensibility. All content has been checked for alignment with current national perinatal programmes in Vietnam by the Maternal and Child Health Department of the Ministry of

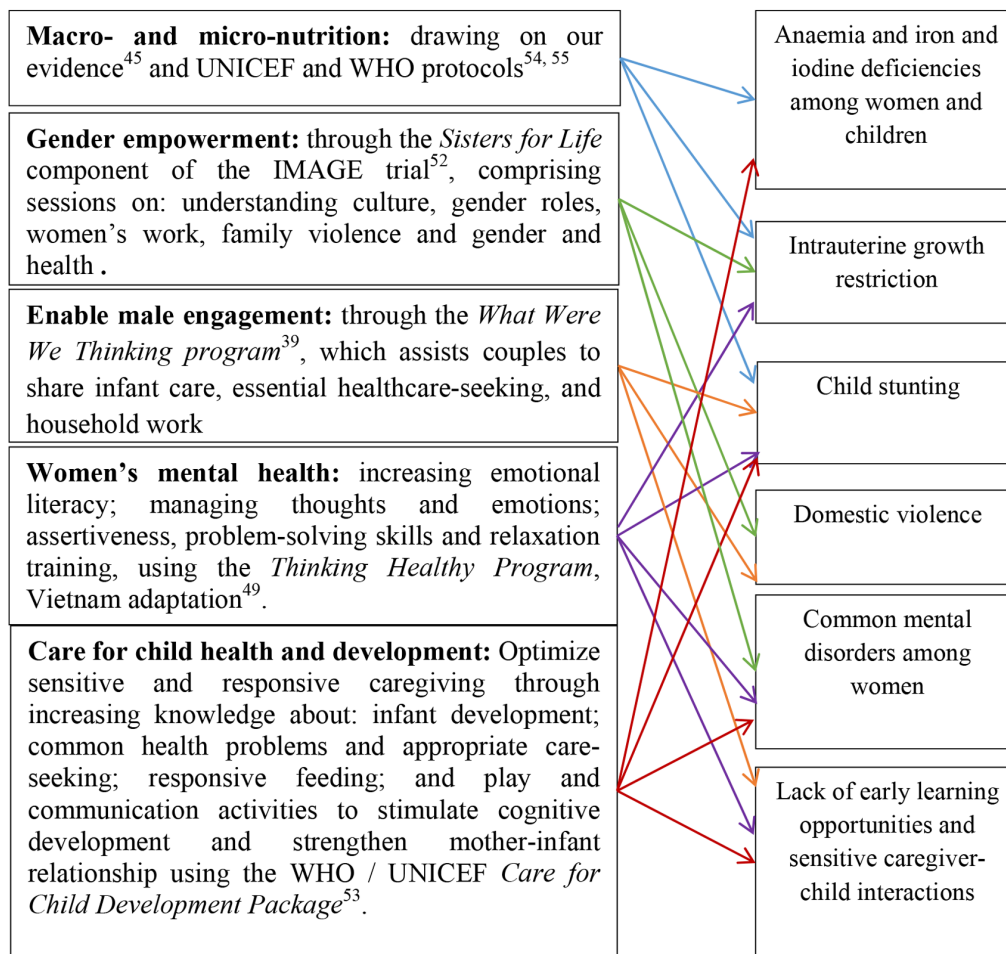


Figure 1 Learning Club content addressing risks to women's health and early childhood development.

Health. The programme has a coherent set of illustrated participant materials suitable for people with low literacy, short DVDs and a facilitator's guide to ensure fidelity.

The programme will be implemented in facilitated small groups of women meeting every 2 weeks in community centres from mid-pregnancy and every 4 weeks after childbirth, until the end of the first postpartum year (a total of 19 facilitated group sessions) and one home visit during the first eight postpartum weeks when mothers and infants in this setting usually do not go out of the house.

Each small group session will be facilitated by a trained and supervised member of the local Women's Union, who has had experience in conducting community programmes and works in one commune only. Vietnam's Women's Union is a highly structured national social organisation, with branches at all levels, including local communes. Its mandate is to engage women in activities related to women's health and the family. When required because of specific content, a community health worker and or a kindergarten teacher will also participate. Each session will be from 60 to 90 min in duration, with shorter sessions scheduled for the first two postpartum meetings to accommodate infant feeding and sleeping requirements.

Educational information will be given to participants through videos, brief talks and participatory learning activities. These will be varied to maintain interest and will include short quizzes, role-play, problem-solving discussions, physical exercises for pregnancy, breathing techniques for birth, observation of their babies to understand infant capabilities and behavioural cues, demonstrations of caregiving for optimal child development, discussing and solving scenario-based exercises to understand and promote gender empowerment and mental health and prevent gender-based violence. During each session, take-home materials will be distributed to each participant. These materials will provide a summary of the information the participants are given at each session, recommended activities they can do at home and take-home messages. Content is tailored to be stage specific, with core nutrition and mental health content and selected content about empowerment and child care, health and development.

An early postpartum household visit will be conducted by a trained commune health station staff member. The purpose of the visit is to screen for postbirth complications and newborn warning signs and to guide women and their family members on how to soothe and settle the baby

Table 1 Outcome and mediator indicators and assessment tools

Variable	Tool	Description
Primary outcome		
Toddler Cognitive development	Bayley Scales of Infant and Toddler Development (BSID), 3rd Ed ⁵⁹ Cognitive Score	Direct child assessments. We have translated the Bayley Scales of Infant and Toddler Development into Vietnamese, adapted materials where necessary and trained local expert administrators. Reference mean score is 100 (SD=15).
Secondary outcomes		
Infant anthropometric indices	Mother–infant scale (Seca 876); portable stadiometers and length boards (ShorrBoard)	Infant height-for-age, weight-for-age and weight-for-height z scores will be calculated by WHO methods ¹³ using length and weight based on infant's age and sex.
Infant Motor and Social-emotional development	Bayley Scales of Infant and Toddler Development	Direct child assessments.
Infant Cognitive development	Bayley Scales of Infant and Toddler Development	Direct child assessments.
Mediators		
Home environment for infant development	Infant/Toddler Home Observation for Measurement of the Environment (HOME) Inventory ⁶⁰	Comprises 45 items assessing quality and quantity of stimulation and support available to a child at home through semistructured observation and parent interview at home.
Maternal common mental disorders	Depression Anxiety and Stress Scale 21 items, Vietnam Validation ³³	We have validated this scale against gold-standard psychiatrist interviews to detect common mental disorders with high sensitivity and specificity.
Maternal serum ferritin and transferrin receptor	Analyses at an accredited Australian laboratory	Venous blood samples, serum separated and frozen for transport to Australia because technical reliability is not assured in Vietnam.
Maternal Hb level	HemoCue 210	Standardised field measurement.
Maternal urinary iodine concentration	Analyses at an accredited Vietnamese laboratory	Urine samples frozen in the field and transported in a cold chain to a national accredited laboratory.
Infant Hb level	HemoCue 210	Standardised field measurement.

effectively and to promote the baby's development through play and communication. The visit is expected to take 45–60 min.

As a whole, the intervention will meet the five pillars recommended by the WHO for programmes to improve maternal and child health: family support, empathic listening, guided discovery using culturally appropriate images and text-based materials, behavioural activation and collaborative problem solving.⁵⁶

Outcomes, mediators and covariates

Primary and secondary outcomes and potential effect mediators of the trial are described in [table 1](#).

Covariates

- ▶ Quality of parent–parent relationship: measured by the Intimate Bonds Measure, Vietnam Validation³⁴ (yielding two subscales: 'Care', assessing sensitivity, empathy and trust and 'Control', assessing criticism, coercion and dominance) and the WHO Multi-Country Study on Women's Health and Domestic Violence Questionnaire.⁵⁷
- ▶ Women's reproductive history: assessed by study-specific structured questions about gravidity, parity,

history of miscarriage and stillbirth and neonatal deaths.

- ▶ Infant birth weight and gestational age at birth: calculated from reported dates of last menstrual period and giving birth. Birth weights from parent-held child health records.
- ▶ Sociodemographic characteristics: number of household members, parents' education and occupation assessed by study-specific questions. Household Wealth Index calculated using the World Bank method assessing 17 household characteristics, services and durable assets.¹

Participant timeline

In both study arms of the proposed cRCT, baseline data (B) will be collected early in the mid-trimester of pregnancy (12–20 gestational weeks) and there will be three follow-up study visits: in late pregnancy (after 32 weeks of gestation, F1), when the infant is 1 year old (F2) and 2 years old (F3). Allocation of communes will be conducted at timepoint 0; there will be no participant involvement at that stage. The overall participant timeline is described in [table 2](#).

Table 2 Participant timeline

Timepoint	Study period				
	Enrolment of participants	Allocation of clusters	Postallocation		
			B	O	F1
Enrolment					
Eligibility screen	X				
Informed consent	X				
Allocation		X			
Interventions (for those in intervention communes)					
Learning clubs					
Assessments:					
Mother					
Maternal common mental disorders	X		X	X	X
Maternal serum ferritin	X		X		
Maternal haemoglobin level	X		X		
Maternal urinary iodine concentration	X		X		
Quality of parent-parent relationship	X		X	X	X
Mother's reproductive history	X				
Sociodemographic characteristics	X				
Child					
Bayley Scales of Infant and Toddler Development					X
Infant anthropometric indices				X	X
Home environment for infant development				X	X
Infant haemoglobin level				X	X
Infant microbiome				X	X
Infant birth weight and gestational age at birth			X		

B: Baseline; F1: Late pregnancy (32 weeks of gestation); F2: when infant is 1 year old; F3: when toddler is 2 years old.

Sample size and power calculation

The number of clusters and sample size have been calculated using the `clustersampsi` module in Stata, V.13 (Stata, College Station, Texas, USA). A total of 1008 pregnant women from 84 clusters (in each study arm: 504 women, ≈ 12 women per commune (the cluster) recruited from 42 clusters) is necessary to detect a difference in the primary outcome (BSID cognitive development score < 1 SD at 2 years of age) of 15% in the control arm and 8% in the Learning Club intervention arm (with 80% statistical power and a significance level of 0.05; intraclass correlation coefficient=0.03). These assumptions are derived from previous studies in Vietnam^{41 42 44} and other resource-constrained settings.^{5 58} This sample size will give us $\geq 85\%$ power to detect differences between the two arms of the secondary outcomes (table 3). Sample size and power calculations take into account loss to follow-up from still births ($< 1\%$), late abortions ($< 1\%$), infant mortality (0.2%), relocation (5%) and withdrawal (13%), estimated to be $\sim 20\%$.³

Assignment of interventions

An independent statistician will randomly select 84 communes (unit of clustering) from the lists of a total of 112 communes in Ha Nam province and randomly allocate 42 to each study arm. The randomisations will be conducted using Stata V.14.0. The independent statistician who conducts the randomisation is not involved any other activities of this study.

Recruitment

It is estimated from our previous studies that 15–25 women will be eligible per cluster.^{41 42 44} In a process we have used successfully, commune health centre staff and village health workers will identify and approach potential participants to inform them of the study and invite those who are interested to visit the commune health centre.³⁰ The recruitment process will begin with 12 pregnant women per commune being randomly-selected from the list of eligible women. Each woman who declines the invitation to participate will be replaced

Table 3 Power calculations for secondary outcomes

	MD*	ICC	Power
Height-for-age Z score at 2 years old	0.25	0.03	0.93
Weight-for-age Z score at 2 years old	0.3	0.01	0.98
Weight-for-height Z score at 2 years old	0.2	0.01	0.85
BSID Cognitive score at 1 year old	0.25	0.02	0.94
BSID Motor score at 2 years old	0.45	0.01	0.96
BSID Social-emotional score at 2 years old	0.3	0.03	0.90

*MD: standardised mean difference (SD of every BSID scale=15 scale points). MDs and ICCs are derived from our previous studies in Vietnam.^{41 42 44} BSID, Bayley Scales of Infant and Toddler Development; ICC, intracluster correlation coefficient.

by another randomly selected woman until 12 are recruited.

Recruitment of participants will be conducted by representatives of the commune health centre and the local Women's Union, who will not be involved in the randomisation of commune clusters.

Blinding

Data collectors and data analysts will be blinded to the assignment of communes to trial arms. All data collectors will interview participants at baseline, Follow-up 1, 2 and 3 without knowing which trial arm the commune has been assigned to and therefore the participant belongs to. Participants will each be assigned a code number which identifies the commune, but not the trial arm. Trial assignment of each commune will only be made available to data analysts after unblinding at the end of the trial.

Data collection methods

The completion of self-report questionnaires is an unfamiliar and generally difficult task for people living in rural Vietnam and data collection by structured individual interviews is accepted standard practice.⁸ Assessments of mothers, including study-specific questions and standardised psychometric instruments will be completed by 12 trained supervised health researchers of the Hanoi Research and Training Centre for Community Development (RTCCD).

Infant Cognitive, Motor and Social-Emotional development will be assessed using the Bayley Scales of Infant and Toddler Development (BSID) 3rd Edition. The BSID has been translated into Vietnamese and materials adapted where necessary.^{40–42} We have trained a team of local psychologists to undertake the individual BSID assessments at 12 and 24 months and will maintain quality through periodic observations by the one BSID-accredited trainer in Vietnam.

All interviews and infant development assessments will be conducted in private rooms at local commune health centres.

Maternal urinary iodine concentration will be assessed in casual urine samples of approximately 10 mL obtained from each participant in late pregnancy. Urine samples will be frozen in a field freezer and transported in a cold chain to the laboratory of the National Hospital of Endocrinology in Hanoi, who have conducted urine iodine concentration (UIC) analyses for many national surveys in Viet Nam. At the laboratory, UIC will be determined by means of the Sandell-Koltho reaction, as recommended by WHO, Unicef and The International Council for the Control of Iodine Deficiency Disorders (ICCIDD). Iodine nutritional status is classified as adequate if UIC=150 µg/L or deficient if UIC<150 µg/L according to the WHO/Unicef/ICCIDD guidelines.

Maternal anaemia will be assessed in the field through maternal haemoglobin (Hb) concentration from a finger prick blood sample, using a hemoglobinometer (HemoCue AB, Angelholm Sweden). We will classify maternal anaemia according to WHO and Unicef recommendations (Hb concentrations<11 g/dL).

Infant anaemia will be assessed in the field through infant Hb concentration from a heel prick blood sample, using a hemoglobinometer (HemoCue AB, Angelholm Sweden). According to the recommendations by WHO and Unicef, infants are classified as having anaemia when Hb concentration<11 g/dL.

Maternal iron deficiency will be assessed through maternal serum ferritin. Venous blood samples will be collected from the mother by an experienced phlebotomist from the Vietnam National Institute of Hematology and Blood Transfusion. Serum will be separated by spinning with a field centrifuge and being frozen for transportation to Hanoi, storage at the National Institute at -20 °C and, after data collection is complete, transfer to Australia on dry ice. Serum will be analysed for ferritin level by an accredited Australian laboratory. Iron deficiency is defined as serum ferritin level of <15 ng/mL.

Plans to promote participant retention

Participation rates at each commune assigned to the intervention arm for each Learning Club session will be closely monitored, by facilitator reports and attendance sheets. Communes in which participation rates fall below 70% will be followed up and participants in these communes visited by a representative from the local Women's Union to identify any difficulties or obstacles, which prevent participation. Barriers will be addressed where possible.

Data management

Data will be collected using hand-held computer devices. Range checks will be incorporated into the devices so that out of range values cannot be entered. Data will be uploaded from the hand-held devices to a password protected database on a secure cloud-based storage

system daily. The database will be built and managed by an experienced data manager at RTCCD. All participants will be assigned a code number and all data will be identified and entered using only code numbers and not names. Data will be checked and cleaned regularly by the RTCCD data manager. Once each wave of data has been collected, it will be transferred to Australia for storage on the secure Monash University server. Data will only be accessible to approved members of the research staff.

Statistical methods

After descriptive analyses, data will be analysed in three steps. First, the differences in the primary and secondary outcomes between the two arms will be estimated by individual-level analyses adjusting for clustering. Second, mixed-effect logistic regression for the main outcome and mixed-effect linear regression models for the secondary outcomes taking into account covariates if there are baseline differences among the arms and incorporating a random effect for commune (clustering) and a fixed effect for trial arm will be conducted to estimate the effects of the intervention on the outcomes. Third, mediation analyses will be conducted using the structural equation modelling technique. All analyses will follow intention-to-treat principles, applying multiple imputation for missing data and be performed at individual level using Stata, V.14 (Stata, College Station, Texas, USA).

Data monitoring

Data monitoring will be conducted by an independent Data Monitoring Committee (DMC) including a biostatistician, a paediatrician and a public health physician. The DMC will assess the outcomes, the safety data and overall progress of the trial at follow-up 1 (when the women are in the third trimester) and 6 months after follow-up 1 (when the babies are about 4 months old). Depending on the results, the DMC will provide recommendations to the steering committee whether to continue, modify or stop the trial.

Harms

All participants (both mothers and infants) will be closely monitored for any International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use—Guideline for Good Clinical Practice ((ICH-GCP) defined adverse events that may arise. At the beginning of each Learning Club session, facilitators will ask participants for any events they may want to report. Any event arising during the session will also be noted. For each event, facilitators will assess to see if it is a medical emergency. If it is, usual procedure in case of an emergency in Vietnam will be followed (dial 115 and paramedic nurses will come to attend to the patient). If not, facilitators will report to the Steering Committee, which is chaired by a paediatrician and includes representatives from the Maternal and Child Health Department, Ministry of Health; the Department for Child Care and Protection, Ministry of Labour, Invalids and Social Affairs

and the Federal Women's Union in Vietnam and will then decide whether or not to refer the participant to appropriate support services.

In case of serious adverse events due to trial intervention or trial conduct, the Steering Committee will discuss and decide whether or not to cease the trial. It is thought, given the nature of the intervention, that this is extremely unlikely to happen.

Any woman who becomes distressed because of participation will be referred to appropriate support services. Contact details of these services are provided in the participant information sheet at time of enrolment.

Public involvement

Over the past 18 years our Vietnam–Australia team has built a collaborative research programme to develop capacity and generate local evidence, about the psychological and general health of women during pregnancy and after giving birth and the health and development of young children in rural Vietnam. All this work has been undertaken in close consultation with the Vietnam Ministry of Health and the Ha Nam Provincial Health Department. In specific aspects, we have collaborated with the Vietnam Medical Association, the National Institute of Nutrition, the National Institute of Endocrinology, the Vietnam Union of Science and Technology Associations and United Nations agencies, in particular the Vietnam offices of the WHO and Unicef and international non-government agencies with aligned interests. In this project, we are working closely with the Vietnam Women's Union which is a highly structured national social organisation, with branches at all social levels, including local communes. Its mandate is to engage women in activities related to women's health and the family. We have held regular symposia in which progress on evidence generation was presented and discussed with all these stakeholders. We then convened roundtable consultations with them about the content and structure of a low-cost, evidence-informed, community based intervention to address the problems we had identified. The programme and the trial design have been carefully considered and formally approved by the Vietnam Ministry of Health Maternal and Child Health Department and the Vietnam Union of Science and Technology Associations.

We pilot-tested the Learning Clubs programme in three communes in Ha Nam province and ascertained acceptability and salience in interviews and small group discussions with women and community members who had participated. The content was in general found to be highly acceptable to participants: *'I felt more confident in taking care of my son and it saved my money from buying formula milk'* (A 22-year-old teacher in Doi Son commune). *'The content is very practical. We live in a rural area so we don't have opportunities to learn officially, but only by my grandmother's and my mother's experience. Now with all the knowledge provided by the club I can take better care of my children'* (participant from Liem Can Commune). An unexpected outcome was the extraordinarily high community interest in the Learning Clubs

activities. At closure of the pilot test, 918 people, including 613 women and 305 partners and grandparents, had attended a Learning Clubs session.

We identified aspects of the programme requiring amendment. Women's Union facilitators were confident in providing most content, but said that '*Mental health is a new concept for us and it is uncommon to share our thoughts to others*' (a facilitator in Liem Can commune) and '*we are not familiar with making toys and playing with children by ages*' (a facilitator in Doi Son commune). These have been revised in the Learning Clubs programme to be assessed in this trial by including commune health workers and kindergarten teachers as cofacilitators of specific sessions.

In designing the trial, participants informed us that the 24 sessions that had been proposed were too many and might interfere with income-generating work. We modified the programme to comprise 20 sessions, one of which is a postbirth home visit.

A Technical Advisory and Policy Advocacy Board of representatives of the Vietnam Ministry of Health, WHO, Unicef, Save the Children Vietnam and other international and local NGOs working in maternal and child health will oversee the project. If the Learning Clubs approach proves effective, the Board will advocate for the inclusion of this innovation in the Essential Health Services, to be covered under the National Universal Insurance Scheme. The Investigators, co-operating with the Board, will use a multistranded dissemination strategy to ensure translation of findings. It will include, seminar and media presentations, national policy briefings, local-language technical reports and lay language summaries to be posted on notice boards and announced on the loudspeaker systems in participating communes in Vietnam.

ETHICS AND DISSEMINATION

Protocol amendments

All modifications to the protocol will be reported to the investigators through regular meetings or emails, ethics committees through amendments application forms or by email if these are not used, trial participants through announcements on commune notice boards and trial registries through the registration portal. The chief investigator with the project manager will take responsibility for ensuring that these are completed.

Consent

Potential participants will be informed about the study by a commune health centre staff member and invited to visit the commune health centre at a specified time if they are interested to learn more about it. Announcements will also be made on the commune loudspeaker system in order to reach women who might not yet have registered their pregnancy. Participant information packages including a plain language participant information sheet and a consent form will be distributed to women who meet eligibility criteria

and express interest in the study. A commune staff member will read the information sheet and consent form to women who are unable to read them. Consent can be given by a signature or witnessed oral consent or for those who are unable to write and wish to, by thumbprint. Contact details of an investigator will be provided if participants have questions or concerns about the trial. Maternal consent will be sought on behalf of the infant for data to be collected about the infant.

Confidentiality

Participants will be identified on all project documents by individual code numbers and not their names. Data will be entered into a password-protected secure database only by code number and not by name. It will be stored first in a secured folder on a cloud-based secure storage system at RTCCD. Once each wave of data collection is complete, data will be transferred to the Monash University secure server which is backed up daily. Deidentified data will only ever be accessible to authorised members of the research team. Signed consent forms will be stored in a locked filing cabinet at RTCCD. Only pooled data from which no individual could be identified will ever be published.

Access to data

Data will be entered into password protected files and can only be accessed by authorised personnel, including chief investigators, project manager and research officers and assistants. At trial completion and after publication of the findings, deidentified participant level quantitative survey data will be published online using Figshare, which enables free public access. However, permission to use the data, including for publication purposes, has to be sought from the investigators and the source of the data acknowledged.

Dissemination policy

We will use a comprehensive multistranded dissemination strategy. It includes peer-reviewed publications, national and international conference presentations, seminars and technical reports. We will engage with print, broadcast and social media in Vietnam and internationally. We will provide national policy briefings in Vietnam, local-language reports and lay language summaries for participants and the commune communities. Every effort will be made to facilitate publication in open access journals.

DISCUSSION

This is to our knowledge the first trial to address eight risks to the early development of children in a multicomponent intervention in a resource-constrained setting. It places an explicit focus on addressing the health and psychological needs of women who are pregnant or providing primary care for infants and young children and the principle

that consideration of women's needs and rights requires respectful prioritisation in endeavours to improve the growth and health of children. In this intervention, the benefits to women and their children of freedom from interpersonal violence, valuing of unpaid work and gender empowerment are addressed directly.

The intervention is informed by comprehensive local evidence, a long-standing international collaboration among a multidisciplinary group of bicultural investigators and detailed cross-national protocols for implementation and governance. It has been developed in close collaboration with potential users and stakeholders. Feasibility, acceptability and preliminary evidence of impact have been established in pilot-testing. The intervention and the trial have the support of the national Ministry of Health and the Ha Nam Provincial Department of Health in Vietnam and the approval of all local auspicing authorities.

Nevertheless, there are potential limitations in our approach.

It is predicated on the capacity of community-based workers, who do not have preservice training in the theory and skills that underpin the intervention, to implement it over an 18-month period. We are addressing this by dividing the 20 sessions into five modules and providing training at the beginning of each module, regular supportive supervision and an easy to follow illustrated facilitator's guide with short scripts and step-by-step instructions. Fidelity will be enhanced by the provision of short DVDs to explain or demonstrate session content. We amended the implementation protocol to include the commune health worker to assist with the presentation of mental health content and kindergarten teachers to assist with ways of engaging with and providing cognitive stimulation for babies.

The content has been drawn from English language resources and there is potential that some words or constructs do not have exact linguistic equivalents in Vietnamese. We have addressed this by having all translations undertaken by more than one bilingual research worker, with group discussion to resolve uncertainty about meaning. All participant and facilitator materials have been illustrated by a Vietnamese graphic designer to maximise comprehensibility and demonstrate meaning.

In order to optimise the early development of children from intrauterine life to the end of the first year after birth, the intervention has 20 sessions, each designed to address women's developmental stage specific needs for knowledge and skills. This number of sessions is similar to those established in the Thinking Healthy Program,⁴⁹ and in Walker *et al's* trial of cognitive stimulation in Jamaica.¹⁰ However, participation might not be maintained for the entire programme. We addressed this following the pilot-test, by combining and synthesising some content and reducing the number of sessions from 24 to 20. Groups are offered at two-week (during pregnancy) and four-week (during the postpartum year) intervals to minimise disruption to income-generating work. In order to maximise behaviour change, at each session, core key-messages will be revisited

with discussion of how in practice these are being implemented and how further changes might be made.

The primary outcome is being assessed by the BSID, 3rd Ed⁵⁹ Cognitive Score. We have adapted a small set of items to make them recognisable to children (eg, replaced the image of a donkey, which no children will have seen, with one of a water buffalo, which are widespread in the area). However, it has not been possible in this (or any other low-income or middle-income country) to conduct a formal validation against a paediatric developmental assessment because to do this for adequate numbers of children for each month of age requires enormous resources which have not been available.

Last, we have selected a defined set of secondary outcomes and covariates, but it is possible that other unascertained mechanisms might influence the outcomes. Overall however, the data are likely to make a substantial, valuable contribution to knowledge about a low-cost, integrated, universal approach to improving the early development of young children by attending to their needs and, most importantly to the needs of their primary caregivers.

Trial status

Trial recruitment will start in May 2018 and, at the time of manuscript submission, has not started.

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Data sharing statement At trial completion and after publication of the findings, deidentified participant level quantitative survey data will be published online using Figshare, which enables free public access. However, permission to use the data, including for publication purposes, has to be sought from the investigators and the source of the data acknowledged.

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